

Docket No. 296755US0PCT



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Raimund MELLIES

SERIAL NO: 10/594,767

GAU:

FILED: September 29, 2006

EXAMINER:

FOR: AQUEOUS SOLUTION FOR REMOVING POST-ETCH RESIDUE

## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

## REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449, some of which are cited in the attached International Search Report. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

## RELATED CASES

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

## CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

## DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, MCCLELLAND,  
MAIER & NEUSTADT, P.C.  
Norman F. OblonJames H. Knebel  
Registration No. 22,630

Customer Number

22850

Tel. (703) 413-3000  
Fax. (703) 413-2220  
(OSMMN 05/03)

DOCKET NO.: 296755US0PCT



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**STATEMENT OF RELEVANCY**

**References AN through AQ on Form PTO-1449:**

These references are incorporated in the specification.

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 296755US0PCT		SERIAL NO. 10/594,767	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Raimund MELLIES			
				FILING DATE September 29, 2006		GROUP	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	2002/0048953 A1	4/25/2002	Radha NAYAK			
	AB	6,417,112 B1	7/9/2002	Catherine M. PEYNE, et al.			
	AC	6,391,794 B1	5/21/2002	Gary CHEN, et al.			
	AD	2004/0053800 A1	3/18/2004	Peng ZHANG, et al.			
	AE	6,156,661	12/5/2000	Robert J. SMALL			
	AF	2003/0143857 A1	7/31/2003	Michael T. ANDREAS			
	AC	6,361,407 B1	3/26/2002	Yongqiang LU, et al.			
	AH	6,199,567 B1	3/13/2001	Itaru KANNO, et al.			
	AI						
	AJ						
	AK						
	AL						
	AM						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AN	EP 0 485 161 A1	5/13/1992	Europe			
	AD	EP 0 068 277 A1	1/5/1983	Europe			
	AP	EP 1 139 401 A1	10/4/2001	Europe			
	AD	EP 1 063 689 A1	12/27/2000	Europe			
	AP	11-233405	8/27/1999	Japan (with English Abstract)			X
	AS	EP 1 024 521 A2	8/2/2000	Europe			
	AT	WO 99/63404	12/9/1999	WIPO			
	AU	EP 0 896 042 A1	2/10/1999	Europe			
	AV	DE 41 40 181 A1	6/9/1993	Germany			X
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AW						
	AX						
	AY						
	AZ						
					<input type="checkbox"/> Additional References sheet(s) attached		
Examiner /Gregory Webb/					Date Considered 02/04/2010		

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.